**AUSTRALIAN CATHOLIC UNIVERSITY**

**HUMAN RESEARCH ETHICS COMMITTEE**

**RISK ASSESSMENT AND MANAGEMENT PLAN**

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| **Ethics Title:** |  |
| **Ethics Id:** |  |
| **Chief Investigator:** |  |
| **Identified Risks** | **Likelihood** | **Potential Impact / Outcome** | **Risk Management / Mitigating Factors** |
| **Identify the risks/hazards present** | **High / Medium / Low** | **Who might be harmed and how?** | **Evaluate the risks and decide on the precautions, eg: Health and Safety** |
| EXAMPLE:Travel risks to location of research projects:* Road / rail accident
* Physical assault
 | Low | Researcher:* Physical injury
* Psychological harm
 | * Travel with companion
* Awareness of options for mode of travel
* Awareness of physical environment, e.g., alleyways, open spaces
* Researcher to be aware of health and safety policies of research location:
* Fire bells
* Location of fire alarms & exits
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| EXAMPLE:Discussion of a sensitive topic in an interview has potential to cause distress to participant | Medium | Participant:* Psychological stress

Researchers:* Anxiety about dealing with a complex situation
 | * Offer to cease interview
* Signpost participant to external/internal support services
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| EXAMPLE:Research involving physical activities | Medium | Participant:* Potential for injury
 | * Ensure the inclusion/exclusion criteria is appropriate
* If required, ensure there is someone on the research team that is trained in first aid or has medical training
* Ensure that the researchers have appropriate training with the activity.
* Age and ability of participant
* Current health status
* Medical conditions
* Consideration of hidden medical conditions
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| EXAMPLE:Incidental findings such as:Tests undertaken could uncover a potential illness or diagnosis.Disclosure of:* Illegal activities
* Mandatory reporting obligations
* Professional reporting obligations
 |  | Participant: | * If applicable (i.e. genomic), a ‘return of results’ plan is in place i.e. NS 3.3.17 & 3.3.32
* Research team is aware of any reporting requirements and that a plan is in place to manage this.
* Ensure participants have consented to the research with knowledge of possible reporting (i.e. police).
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